



Report Identification Number: SY-14-030

Prepared by: Syracuse Regional Office

Issue Date: 10/1/2015

This report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:

- A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
- The death of a child for whom child protective services has an open case.
- The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
- The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may **only** be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. **It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.**

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.



Abbreviations

Relationships		
BM-Biological Mother	SM-Subject Mother	SC-Subject Child
BF-Biological Father	SF-Subject Father	OC-Other Child
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle
Contacts		
LE-Law Enforcement	CW-Case Worker	CP-Case Planner
Dr.-Doctor	ME-Medical Examiner	EMS-Emergency Medical Services
DC-Day Care	FD-Fire Department	BM-Biological Mother
CPR-Cardio-pulmonary Resuscitation		
Allegations		
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse
CD/A-Child's Drug/Alcohol Use	MN-Medical Neglect	EdN-Educational Neglect
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision
Ab-Abandonment	OTH/COI-Others	
Miscellaneous		
IND-Indicated	UNF-Unfounded	SO-Sexual Offender
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence
LDSS-Local Department of Social Service	ACS-Administration for Children's Services	NYPD-New York City Police Department
PPRS-Purchased Preventive Rehabilitative Services		

Case Information



Report Type: Child Deceased
Age: 1 month(s)

Jurisdiction: Cayuga
Gender: Male

Date of Death: 09/03/2014
Initial Date OCFS Notified: 09/18/2014

Presenting Information

On 09/03/14, the one-month old SC was taken to the hospital with an elevated temperature. On 9/3/14 at about 4:30PM, hospital staff reported that the child was struggling to breathe and had subsequent heart failure. He died shortly afterwards.

Executive Summary

This fatality review concerns the death of a one-month old child that occurred on 9/3/14 due to unexpected illness. According to the findings, in the week prior to this incident the SC had received breathing treatments for wheezing from his primary doctor. On 9/2/14 the SC was taken to the emergency room by his BM and MGM due to continuing respiratory issues and a fever. Following X-rays the SC was transferred and admitted to a hospital, where he subsequently experienced cardiac issues and could not be resuscitated. SC died at approximately 4:30pm. Case documentation indicated that the cause of death was listed as cardiac arrest due to pertussis pneumonitis as a consequence of entero/rhinovirus pneumonitis and the manner of death was natural.

The SC was involved in an open Family Services case dated 01/04/2011 to present. During that time, an aunt of the SC was removed from the home and placed into foster care as a result of noncompliance with in home services to address reported negative behaviors in the home and at school. No SCR report was generated as a result of the fatality as no abuse/maltreatment was reported or found to have caused or contributed to the child's death.

OCFS received an "Agency Reporting Form for Serious Injuries, Accidents, or Deaths of Children in Foster Care and Deaths of Children in Open Child Protective or Preventive Cases" from the DSS with notification of the child's death since the family was receiving preventive services. The child's death was reported to OCFS under Chapter 485 of the Laws of 2006 and was included in the family's open preventive services case.

Casework activities were conducted appropriately. Safety and risk to the surviving children in the household were appropriately assessed. The family was offered bereavement and other services as a result of the fatality. The family continues to receive preventive services where safety and risks factors are monitored.

Findings Related to the CPS Investigation of the Fatality

Safety Assessment:

- **Was sufficient information gathered to make the decision recorded on the:**
 - **Approved Initial Safety Assessment?** N/A
 - **Safety assessment due at the time of determination?** N/A

Determination:

- **Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation?** N/A



- Was the determination made by the district to unfound or indicate appropriate? N/A

Explain:
 There was no SCR report generated as a result of this fatality. The casework activities related to the fatality were conducted appropriately.

Was the decision to close the case appropriate? N/A

Was casework activity commensurate with appropriate and relevant statutory or regulatory requirements? Yes

Was there sufficient documentation of supervisory consultation? Yes, the case record has detail of the consultation.

Explain:
 Case remained open for Services.

Required Actions Related to the Fatality

Are there Required Actions related to the compliance issue(s)? Yes No

Fatality-Related Information and Investigative Activities

Incident Information

Date of Death: 09/03/2014 Time of Death: 04:30 PM

County where fatality incident occurred: CAYUGA

Was 911 or local emergency number called? No

Did EMS to respond to the scene? No

At time of incident leading to death, had child used alcohol or drugs? No

Child's activity at time of incident:

- Sleeping
- Working
- Driving / Vehicle occupant
- Playing
- Eating
- Unknown
- Other

Did child have supervision at time of incident leading to death? Yes

Is the caretaker listed in the Household Composition? No

At time of incident supervisor was: Unknown if they were impaired.

Total number of deaths at incident event:
 Children ages 0-18: 01



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Household Composition at time of Fatality

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Aunt/Uncle	No Role	Female	14 Year(s)
Deceased Child's Household	Aunt/Uncle	No Role	Male	17 Year(s)
Deceased Child's Household	Aunt/Uncle	No Role	Female	12 Year(s)
Deceased Child's Household	Deceased Child	No Role	Male	1 Month(s)
Deceased Child's Household	Grandparent	No Role	Female	36 Year(s)
Deceased Child's Household	Grandparent	No Role	Male	37 Year(s)
Deceased Child's Household	Mother	No Role	Female	16 Year(s)

LDSS Response

On 9/4/14, the Services worker went to the home and learned that the child had died on 9/3/14 after being taken to the hospital with a fever. DSS appropriately responded to the fatality by interviewing household members and collateral contacts. DSS obtained medical records, the death certificate and autopsy information. DSS staff contacted the parents and offered referrals for bereavement counseling as well as financial support for funeral arrangement and burial services. DSS determined that abuse/maltreatment was not a factor in the SC's death.

Official Manner and Cause of Death

Official Manner: Natural

Primary Cause of Death: From a medical cause

Person Declaring Official Manner and Cause of Death: Hospital physician

Multidisciplinary Investigation/Review

Was the fatality reviewed by an OCFS approved Child Fatality Review Team?No

Comments: The fatality was not reviewed by an approved Child Fatality Review Team.

CPS Fatality Casework/Investigative Activities

	Yes	No	N/A	Unable to Determine
All children observed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
When appropriate, children were interviewed?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contact with source?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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All appropriate Collaterals contacted?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was a death-scene investigation performed?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Coordination of investigation with law enforcement?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Was there timely entry of progress notes and other required documentation?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Fatality Safety Assessment Activities

	Yes	No	N/A	Unable to Determine
Were there any surviving siblings or other children in the household?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an adequate safety assessment of impending or immediate danger to surviving siblings/other children in the household named in the report:				
Within 24 hours?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
At 7 days?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
At 30 days?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an approved Initial Safety Assessment for all surviving siblings/ other children in the household within 24 hours?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Are there any safety issues that need to be referred back to the local district?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

When safety factors were present that placed the surviving siblings/other children in the household in impending or immediate danger of serious harm, were the safety interventions, including parent/caretaker actions adequate?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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Fatality Risk Assessment / Risk Assessment Profile

	Yes	No	N/A	Unable to Determine
Was the risk assessment/RAP adequate in this case?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
During the course of the investigation, was sufficient information gathered to assess risk to all surviving siblings/other children in the household?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there an adequate assessment of the family's need for services?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Did the protective factors in this case require the LDSS to file a petition in Family Court at any time during or after the investigation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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Were appropriate/needed services offered in this case	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Placement Activities in Response to the Fatality Investigation

	Yes	No	N/A	Unable to Determine
Did the safety factors in the case show the need for the surviving siblings/other children in the household be removed and placed in foster care at any time during this fatality investigation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Were there surviving siblings/other children in the household removed as a result of this fatality report/investigation?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Legal Activity Related to the Fatality

Was there legal activity as a result of the fatality investigation? There was no legal activity

Services Provided to the Family in Response to the Fatality

Services	Provided After Death	Offered, but Refused	Offered, Unknown if Used	Needed but not Offered	Needed but Unavailable	N/A	CDR Lead to Referral
Bereavement counseling	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Economic support	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Funeral arrangements	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Housing assistance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Mental health services	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foster care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Health care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Legal services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Family planning	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Homemaking Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Parenting Skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Domestic Violence Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Early Intervention	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Alcohol/Substance abuse	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Child Care	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>



Intensive case management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Family or others as safety resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Additional information, if necessary:
 The family was offered bereavement counseling, financial support and mental health services.

Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? Yes

Explain:
 Bereavement counseling was offered. The family also continues to receive preventive services.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? Yes

Explain:
 Bereavement and counseling services were offered to the parents and other caregivers. In addition to that, financial support was extended to the family.

History Prior to the Fatality

Child Information

- Did the child have a history of alleged child abuse/maltreatment? No
- Was there an open CPS case with this child at the time of death? No
- Was the child ever placed outside of the home prior to the death? No
- Were there any siblings ever placed outside of the home prior to this child's death? No
- Was the child acutely ill during the two weeks before death? Yes

Infants Under One Year Old

During pregnancy, mother:

- Had medical complications / infections
- Misused over-the-counter or prescription drugs
- Experienced domestic violence
- Was not noted in the case record to have any of the issues listed
- Had heavy alcohol use
- Smoked tobacco
- Used illicit drugs

Infant was born:

- Drug exposed
- With neither of the issues listed noted in case record
- With fetal alcohol effects or syndrome



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CPS - Investigative History Three Years Prior to the Fatality

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
09/03/2011	3636 - Other - Subject child, Female, 15 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	No
	3636 - Other - Subject child, Female, 15 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3636 - Other - Subject child, Female, 15 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3640 - Other Child - Subject child, Male, 4 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3640 - Other Child - Subject child, Male, 4 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3640 - Other Child - Subject child, Male, 4 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3637 - Other Child - Subject child, Male, 14 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3637 - Other Child - Subject child, Male, 14 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3637 - Other Child - Subject child, Male, 14 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3638 - Other Child - Subject child, Male, 9 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3638 - Other Child - Subject child, Male, 9 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3638 - Other Child - Subject child, Male, 9 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3639 - Other Child - Subject child, Male, 7 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3639 - Other Child - Subject child, Male, 7 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3639 - Other Child - Subject child, Male, 7 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3641 - Other Child - Subject child, Male, 2 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3641 - Other Child - Subject child, Male, 2 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	
	3641 - Other Child - Subject child, Male, 2 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3642 - Other Child - Subject child, Male, 12 Years	3634 - Father, Male, 37 Years	Inadequate Food / Clothing / Shelter	Far-Closed	
	3642 - Other Child - Subject child, Male, 12 Years	3634 - Father, Male, 37 Years	Inadequate Guardianship	Far-Closed	



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3642 - Other Child - Subject child, Male, 12 Years	3634 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Far-Closed
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Report Summary:

The SCR registered a report with allegations of IFCS, PDAM, and IG of the Children in this home. The case was screened for a Family Assessment Response pursuant to the appropriate application of the county's established criteria for maintaining the case as FAR. The Cayuga County DSS met with the family and addressed the concerns. Immediate service needs were addressed.

OCFS Review Results:

The report was handled appropriately.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
10/11/2011	3846 - Other - Subject child, Male, 9 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed	No
	3846 - Other - Subject child, Male, 9 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed	
	3846 - Other - Subject child, Male, 9 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3847 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed	
	3847 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed	
	3847 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3848 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed	
	3848 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed	
	3848 - Other - Subject child, Male, 6 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3849 - Other - Subject child, Male, 2 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed	
	3849 - Other - Subject child, Male, 2 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed	
	3849 - Other - Subject child, Male, 2 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed	
	3843 - Other - Subject child, Female, 15 Years	3841 - Father's Partner, Female, 29 Years	Childs Drug / Alcohol Use	Far-Closed	
	3843 - Other - Subject child, Female, 15 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed	
	3843 - Other - Subject child, Female, 15 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed	
3843 - Other - Subject child, Female, 15 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug /	Far-Closed		



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Female, 15 Years	Female, 29 Years	Alcohol Misuse	
3844 - Other - Subject child, Male, 13 Years	3841 - Father's Partner, Female, 29 Years	Childs Drug / Alcohol Use	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3841 - Father's Partner, Female, 29 Years	Childs Drug / Alcohol Use	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3841 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3841 - Father's Partner, Female, 29 Years	Lack of Supervision	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3841 - Father's Partner, Female, 29 Years	Parents Drug / Alcohol Misuse	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3842 - Father, Male, 34 Years	Childs Drug / Alcohol Use	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3845 - Other - Subject child, Male, 11 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3842 - Father, Male, 34 Years	Childs Drug / Alcohol Use	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3844 - Other - Subject child, Male, 13 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3843 - Other - Subject child, Female, 15 Years	3842 - Father, Male, 34 Years	Childs Drug / Alcohol Use	Far-Closed
3843 - Other - Subject child, Female, 15 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3843 - Other - Subject child, Female, 15 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3843 - Other - Subject child, Female, 15 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3847 - Other - Subject child, Male, 6 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3847 - Other - Subject child,	3842 - Father, Male, 34	Lack of	Far-Closed



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Male, 6 Years	Years	Supervision	
3847 - Other - Subject child, Male, 6 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3848 - Other - Subject child, Male, 6 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3848 - Other - Subject child, Male, 6 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3848 - Other - Subject child, Male, 6 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3846 - Other - Subject child, Male, 9 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3846 - Other - Subject child, Male, 9 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3846 - Other - Subject child, Male, 9 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed
3849 - Other - Subject child, Male, 2 Years	3842 - Father, Male, 34 Years	Inadequate Guardianship	Far-Closed
3849 - Other - Subject child, Male, 2 Years	3842 - Father, Male, 34 Years	Lack of Supervision	Far-Closed
3849 - Other - Subject child, Male, 2 Years	3842 - Father, Male, 34 Years	Parents Drug / Alcohol Misuse	Far-Closed

Report Summary:

There were concerns related to a minor's drug use, Inadequate Guardianship and Lack of Supervision of the children in this household. The Cayuga County DSS met with the family and addressed the concerns. Immediate service needs were addressed. The FAR case was closed as there was an open CPS INV case. FSP was initiated in the home, The thirteen year old child was receiving Supervision through PINS, and the family was in counseling.

OCFS Review Results:

The response to the concerns regarding the Family Assessment was adequate . Additionally the decision made regarding this case's discontinuation in FAR was also appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
10/14/2011	3529 - Other Child - Subject Child, Male, 14 Years	3791 - Father, Male, 34 Years	Excessive Corporal Punishment	Indicated	No
	3529 - Other Child - Subject Child, Male, 14 Years	3791 - Father, Male, 34 Years	Inadequate Guardianship	Indicated	
	3529 - Other Child - Subject Child, Male, 14 Years	3791 - Father, Male, 34 Years	Lacerations / Bruises / Welts	Indicated	
	3529 - Other Child - Subject Child, Male, 14 Years	3530 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Unfounded	



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3529 - Other Child - Subject Child, Male, 14 Years	3530 - Father's Partner - Subject Child, Female, 29 Years	Lacerations / Bruises / Welts	Unfounded
3792 - Other Child - Sibling of Subject Child, Female, 15 Years	3530 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Unfounded

Report Summary:

The SCR registered a report alleging that on 10/14/11, the father's paramour, hit the 13 year old male child causing the child to sustain bruises on his shoulders. The child was hit because he would not give the paramour his cell phone. Cayuga County investigated the allegations of Excessive Corporal Punishment, Lacerations Bruises Welts and Inadequate Guardianship of the child by the mother and 12/27/11 these allegations were unsubstantiated against the mother; however, during the time frame two subsequent reports were made to the SCR regarding this incident. The father was the subject of the report and the allegations against him were substantiated.

Determination: Indicated

Date of Determination: 12/27/2011

Basis for Determination:

The allegations of Inadequate Guardianship, Excessive Corporal Punishment and Lacerations, Bruises, and Welts, against the father were substantiated. Cayuga County indicated the child sustained rug burns to the right side of his neck. Additionally, the father hit and made threats to kill the child. Cayuga County also documented that Auburn Police responded to the home on 10/16/11 and found that the father had grabbed the child by the neck and pushed him against the bedroom wall during a domestic argument. The child was observed by police to have rug burns on the right side of his neck.

OCFS Review Results:

The investigation of the allegations of this report was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
10/14/2011	3538 - Other - Subject Child, Male, 14 Years	3602 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Unfounded	No
	3538 - Other - Subject Child, Male, 14 Years	3602 - Father's Partner, Female, 29 Years	Lacerations / Bruises / Welts	Unfounded	
	3538 - Other - Subject Child, Male, 14 Years	3601 - Father, Male, 34 Years	Excessive Corporal Punishment	Indicated	
	3538 - Other - Subject Child, Male, 14 Years	3601 - Father, Male, 34 Years	Inadequate Guardianship	Indicated	
	3538 - Other - Subject Child, Male, 14 Years	3601 - Father, Male, 34 Years	Lacerations / Bruises / Welts	Indicated	
	3539 - Sibling - Subject Child, Female, 15 Years	3602 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Unfounded	

Report Summary:

The SCR registered a report with allegations of Excessive Corporal Punishment, Lacerations, Bruises, Welts and Inadequate Guardianship of the thirteen year old male child by the mother. According to the narrative of the report, on 10/14/11, the father's paramour, hit the child causing the child to sustain bruises on his shoulders. It was alleged that this was done because he would not give the paramour his cell phone. The investigation of this report was merged with another report that was open on the same date. The report was indicated; however, the allegations against the mother



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were unsubstantiated.

Determination: Indicated

Date of Determination: 12/27/2011

Basis for Determination:

The allegations of Inadequate Guardianship and Lacerations, Bruises, Welts, against the mother were unsubstantiated. Cayuga's investigation revealed that when the mother attempted to take his cell phone as punishment, the child threatened to jump out from the window. The mother grabbed him to stop him from jumping. The child was taken to the hospital; the scratches and marks were deemed medically insignificant. Cayuga documented the mother did not intentionally harm the child.

OCFS Review Results:

OCFS determined that the decision was appropriate and will provide technical assistance to Cayuga regarding the documentation. Intent is not a factor for making a determination regarding the allegations of the CPS report.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
10/16/2011	3547 - Other Child - Subject Child, Male, 14 Years	3545 - Father - Subject Child, Male, 34 Years	Excessive Corporal Punishment	Indicated	No
	3547 - Other Child - Subject Child, Male, 14 Years	3545 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Indicated	
	3547 - Other Child - Subject Child, Male, 14 Years	3545 - Father - Subject Child, Male, 34 Years	Lacerations / Bruises / Welts	Indicated	
	3547 - Other Child - Subject Child, Male, 14 Years	3801 - Father's Partner, Female, 29 Years	Lacerations / Bruises / Welts	Unfounded	
	3547 - Other Child - Subject Child, Male, 14 Years	3801 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Unfounded	
	3802 - Sibling, Female, 15 Years	3801 - Father's Partner, Female, 29 Years	Inadequate Guardianship	Unfounded	

Report Summary:

The SCR registered a report with allegations of , Excessive Corporal Punishment, Lacerations, Bruises, and Welts, and Inadequate Guardianship of the child by the father. The report alleged that the father pushed the child and grabbed him by his neck. The child sustained rug burns to the right side of his neck. The father hit the child with a belt and made threats to kill the child.

Determination: Indicated

Date of Determination: 12/27/2011

Basis for Determination:

Cayuga County documented Auburn Police responded to the home on 10/16/11 and confirmed the father had grabbed the child by his neck and pushed him against the bedroom wall during a domestic argument. The child was observed by police to have rug burns on the right side of his neck. Additionally, the father in front of police officers, threatened to kill the child. The father was arrested.

OCFS Review Results:

The investigation was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR	Alleged	Alleged	Allegation(s)	Status/Outcome	Compliance
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Report	Victim(s)	Perpetrator(s)			Issue(s)
10/21/2011	3822 - Other - Subject child, Female, 15 Years	3823 - Father's Partner - Subject child, Female, 29 Years	Inadequate Guardianship	Indicated	No
	3821 - Other - Subject child, Male, 14 Years	3823 - Father's Partner - Subject child, Female, 29 Years	Inadequate Guardianship	Indicated	
	3821 - Other - Subject child, Male, 14 Years	3823 - Father's Partner - Subject child, Female, 29 Years	Lacerations / Bruises / Welts	Indicated	
	3821 - Other - Subject child, Male, 14 Years	3824 - Father - Subject child, Male, 34 Years	Excessive Corporal Punishment	Indicated	
	3821 - Other - Subject child, Male, 14 Years	3824 - Father - Subject child, Male, 34 Years	Inadequate Guardianship	Indicated	
	3821 - Other - Subject child, Male, 14 Years	3824 - Father - Subject child, Male, 34 Years	Lacerations / Bruises / Welts	Indicated	

Report Summary:
 The SCR registered a report alleging that on 10/14/11, the father's paramour, hit the 13 year old male child causing the child to sustain bruises on his shoulders. The child was hit because he would not give the paramour his cell phone. Cayuga County investigated the allegations of Excessive Corporal Punishment, Lacerations Bruises Welts and Inadeqaute Guardianship of the child by the mother and 12/27/11 these allegations were unsubstantiated against the mother; however, during the time frame two subsequent reports were made to the SCR regarding this incident. The father was the subject of the report and the allegations against him were substantiated.

Determination: Indicated **Date of Determination:** 12/27/2011

Basis for Determination:
 Cayuga County documented Auburn Police responded to the home on 10/16/11 and confirmed the father had grabbed the child by his neck and pushed him against the bedroom wall during a domestic argument. The child was observed by police to have rug burns on the right side of his neck. Additionally, the father in front of police officers, threatened to kill the child. The father was arrested. The allegations of Inadequate Guardianship and Lacerations, Bruises, Welts, against the mother were unsubstantiated. Cayuga's investigation revealed that when the mother attempted to take his cell phone as punishment, the child threatened to jump out from the window. The mother grabbed him to stop him.

OCFS Review Results:
 The investigation and determination of the report were appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
02/27/2012	3557 - Other Child - Subject Child, Male, 12 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Indicated	No
	3560 - Other Child - Subject Child, Male, 7 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Indicated	



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3559 - Other Child - Subject Child, Male, 10 Years	3555 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Indicated
3559 - Other Child - Subject Child, Male, 10 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Parents Drug / Alcohol Misuse	Indicated
3557 - Other Child - Subject Child, Male, 12 Years	3555 - Father - Subject Child, Male, 34 Years	Parents Drug / Alcohol Misuse	Indicated
3558 - Other Child - Subject Child, Female, 9 Years	3555 - Father - Subject Child, Male, 34 Years	Parents Drug / Alcohol Misuse	Indicated
3558 - Other Child - Subject Child, Female, 9 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Indicated
3559 - Other Child - Subject Child, Male, 10 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Indicated
3557 - Other Child - Subject Child, Male, 12 Years	3555 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Indicated
3558 - Other Child - Subject Child, Female, 9 Years	3555 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Indicated
3560 - Other Child - Subject Child, Male, 7 Years	3555 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Indicated
3557 - Other Child - Subject Child, Male, 12 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Parents Drug / Alcohol Misuse	Indicated
3558 - Other Child - Subject Child, Female, 9 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Parents Drug / Alcohol Misuse	Indicated
3560 - Other Child - Subject Child, Male, 7 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Parents Drug / Alcohol Misuse	Indicated
3559 - Other Child - Subject Child, Male, 10 Years	3555 - Father - Subject Child, Male, 34 Years	Parents Drug / Alcohol Misuse	Indicated
3560 - Other Child - Subject Child, Male, 7 Years	3555 - Father - Subject Child, Male, 34 Years	Parents Drug / Alcohol Misuse	Indicated
3557 - Other Child - Subject Child, Male, 12 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Sexual Abuse	Indicated



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3558 - Other Child - Subject Child, Female, 9 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Sexual Abuse	Indicated
3560 - Other Child - Subject Child, Male, 7 Years	3554 - Father's Partner - Subject Child, Female, 29 Years	Sexual Abuse	Indicated
3557 - Other Child - Subject Child, Male, 12 Years	3555 - Father - Subject Child, Male, 34 Years	Sexual Abuse	Indicated
3558 - Other Child - Subject Child, Female, 9 Years	3555 - Father - Subject Child, Male, 34 Years	Sexual Abuse	Indicated
3560 - Other Child - Subject Child, Male, 7 Years	3555 - Father - Subject Child, Male, 34 Years	Sexual Abuse	Indicated

Report Summary:

This report involves the allegations of SA PDAM and IG of the 12, 7, and 9 year old children by the father and his paramour. According to the narrative of the report the 12 year child had been touching his 7 and 9 year old siblings in a sexual manner. The father and parent substitute who were aware of the issue, failed to protect any of the children. The report further alleged that the father and paramour were often intoxicated in the presence of the children including a 10 year old child, and smoked marijuana in the presence of the children.

Determination: Indicated

Date of Determination: 04/24/2012

Basis for Determination:

Cayuga indicated there was credible evidence to support the substantiation of the investigation as the parents left the children alone long enough for them to act inappropriate towards each other. Additionally, the children disclosed the extent of the touching. There was no narrative to address the substantiation of the allegation of PArnts Drug/Alcohol Misuse.

OCFS Review Results:

The investigation of the report was adequate; however, while OCFS does not disagree with the determination, Cayuga did not provide a narrative in the Investigation Conclusion to support or explain the basis for the substantiation of the allegation.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
09/14/2012	3564 - Other Child - Subject Child, Female, 16 Years	3566 - Father's Partner - Subject Child, Female, 30 Years	Choking / Twisting / Shaking	Unfounded	No
	3564 - Other Child - Subject Child, Female, 16 Years	3566 - Father's Partner - Subject Child, Female, 30 Years	Excessive Corporal Punishment	Unfounded	
	3564 - Other Child - Subject Child, Female, 16 Years	3566 - Father's Partner - Subject Child, Female, 30 Years	Inadequate Guardianship	Unfounded	



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3564 - Other Child - Subject Child, Female, 16 Years	3566 - Father's Partner - Subject Child, Female, 30 Years	Lacerations / Bruises / Welts	Unfounded
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Report Summary:
 The report alleged that two weeks prior to 9/14/12, while the stepmother was involved in an argument with the sixteen-year-old child, she choked and grabbed the child. The report alleged the child had scratches and other marks on her arm as a result. Cayuga County investigated the report and made the appropriate collateral contacts with law enforcement, school, and medical personnel.

Determination: Unfounded **Date of Determination:** 10/31/2012

Basis for Determination:
 The report was unfounded on the basis there was no credible evidence to substantiate the allegations. The county documented there was a verbal dispute between the child and the paramour. The argument escalated into a physical dispute with the child being the aggressor. The paramour attempted for her safety and the safety of the child to restrain the child. There were no bruises or marks on the child. The county determined the paramour's actions were appropriate. The family was referred for services.

OCFS Review Results:
 The investigation was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
10/05/2012	3829 - Other - Subject child, Female, 16 Years	3830 - Father's Partner - Subject child, Female, 34 Years	Choking / Twisting / Shaking	Unfounded	No
	3829 - Other - Subject child, Female, 16 Years	3830 - Father's Partner - Subject child, Female, 34 Years	Excessive Corporal Punishment	Unfounded	
	3829 - Other - Subject child, Female, 16 Years	3830 - Father's Partner - Subject child, Female, 34 Years	Inadequate Guardianship	Unfounded	
	3829 - Other - Subject child, Female, 16 Years	3830 - Father's Partner - Subject child, Female, 34 Years	Lacerations / Bruises / Welts	Unfounded	

Report Summary:
 The narrative and allegations of this report were similar to the report registered by the SCR on 9/14/2012; therefore, the report was registered as a duplicate and investigated with the 9/14/12 report.

Determination: Unfounded **Date of Determination:** 10/31/2012

Basis for Determination:
 There was no credible evidence to substantiate the allegations.

OCFS Review Results:
 The decisions made regarding this investigation were appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of	Alleged	Alleged	Allegation(s)	Status/Outcome	Compliance
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SCR Report	Victim(s)	Perpetrator(s)			Issue(s)
12/12/2012	3561 - Other Child - Subject Child, Female, 16 Years	3562 - Father - Subject Child, Male, 34 Years	Inadequate Food / Clothing / Shelter	Unfounded	No
	3561 - Other Child - Subject Child, Female, 16 Years	3562 - Father - Subject Child, Male, 34 Years	Inadequate Guardianship	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3562 - Father - Subject Child, Male, 34 Years	Lack of Medical Care	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3563 - Father's Partner - Subject Child, Female, 29 Years	Choking / Twisting / Shaking	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3563 - Father's Partner - Subject Child, Female, 29 Years	Educational Neglect	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3563 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Food / Clothing / Shelter	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3563 - Father's Partner - Subject Child, Female, 29 Years	Inadequate Guardianship	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3563 - Father's Partner - Subject Child, Female, 29 Years	Lack of Medical Care	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3562 - Father - Subject Child, Male, 34 Years	Choking / Twisting / Shaking	Unfounded	
	3561 - Other Child - Subject Child, Female, 16 Years	3562 - Father - Subject Child, Male, 34 Years	Educational Neglect	Unfounded	

Report Summary:
 The allegations of this report were CTS, EdNeg, IFCS, LOMC, and IG of the sixteen-year-old child by the father and his paramour. According to the narrative of the report, on 9/1/12, the father and his paramour kicked the 16-year-old child out of the home without any other provisions for her care. The report also alleged the adults had grabbed the child by her throat and choked her causing the child to become unconscious, there was no preparation made for the child's clothing given the inclement weather conditions at the time and the fact that the child needed medical care, and that the child was unable to attend school because her father was refusing to provide transportation.

Determination: Unfounded **Date of Determination:** 02/08/2013

Basis for Determination:
 After appropriately investigating the reported allegations, Cayuga County made the determination that the allegations of CTS, EdNeg, IFCS, LOMC, and IG regarding the 16-year-old child were unsubstantiated due to a lack of credible evidence. The family refused services.

OCFS Review Results:



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The report was appropriately investigated.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
05/28/2013	3581 - Other Child - Subject Child, Female, 17 Years	3583 - Father's Partner - Subject Child, Female, 31 Years	Excessive Corporal Punishment	Unfounded	No
	3782 - Other Child - Subject Child, Female, 13 Years	3583 - Father's Partner - Subject Child, Female, 31 Years	Inadequate Guardianship	Unfounded	
	3782 - Other Child - Subject Child, Female, 13 Years	3582 - Father - Subject Child, Male, 36 Years	Parents Drug / Alcohol Misuse	Unfounded	

Report Summary:

This report alleged that on one occasion the mother placed a pillow over the 13-year-old child's face in an attempt to smother her. The mother was also physically abusive to the 17-year-old daughter. The report also alleged the father gets high on drugs and alcohol when the 13-year-old child visits the home. Cayuga County DSS investigated the report and made the appropriate collateral contacts.

Determination: Unfounded

Date of Determination: 07/25/2013

Basis for Determination:

The allegations of the report were unsubstantiated on the basis of the child's denial of the reported allegations. Additionally, there was no evidence of smothering. Furthermore, Cayuga documented that although there was the suspicion of drug use, there was no observable negative impact on the care the children were receiving.

OCFS Review Results:

The investigation of the report was completed within the mandated timeframes and the parameters of SSL. While Cayuga made the determination that there was no observable negative impact on the care the children were receiving, the number of reports and the nature of the allegations warranted a closer monitoring of the family. Cayuga County could have considered court intervention for Court Ordered Supervision of this family.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
07/11/2013	3825 - Other - Subject child, Female, 17 Years	3827 - Mother, Female, 35 Years	Excessive Corporal Punishment	Unfounded	No
	3826 - Other - Subject child, Female, 13 Years	3827 - Mother, Female, 35 Years	Inadequate Guardianship	Unfounded	
	3826 - Other - Subject child, Female, 13 Years	3827 - Mother, Female, 35 Years	Parents Drug / Alcohol Misuse	Unfounded	

Report Summary:

The SCR documented additional information to open CPS case in Cayuga County. According to the information provided, on 7/10/13, the father left the 16-year-old) at a friend's house, and the 13-year-old with a neighbor so that he could go out between 6:00 PM and 9:00 PM. The children were instructed not to return home but did so anyway. At the



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home the children physically fought each other, wielded knives, cut each other's property, and left again. The father informed law enforcement of the situation.

Determination: Undetermined

OCFS Review Results:

The information was appropriately addressed.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
07/17/2013	3586 - Other - Subject Child, Female, 13 Years	3585 - Father - Subject Child, Male, 33 Years	Inadequate Guardianship	Unfounded	No
	3586 - Other - Subject Child, Female, 13 Years	3585 - Father - Subject Child, Male, 33 Years	Lacerations / Bruises / Welts	Unfounded	

Report Summary:

The report alleged that the thirteen-year-old child had a bruised left eye as the result of the father punching her in the face for unknown reasons. The allegations of the report were LBW and IG of the child by the father. The investigation of the report was initiated within the appropriate time frames. The caseworker made the appropriate contacts. The determination was appropriate.

Determination: Unfounded

Date of Determination: 08/21/2013

Basis for Determination:

Cayuga County unsubstantiated the allegations of the report on the basis that there was no credible evidence to support the substantiation of the allegations. Cayuga's caseworker documented that the next day following the incident the child told her foster care worker that she and her sister got into a fight and she was hit causing in the black eye. The child was again interviewed again at Cayuga Centers and her account remained consistent.

OCFS Review Results:

The report was adequate and the determination was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
09/30/2013	3594 - Other - Subject child, Female, 15 Years	3595 - Father - Subject child, Male, 36 Years	Inadequate Guardianship	Unfounded	No
	3594 - Other - Subject child, Female, 15 Years	3596 - Father's Partner - Subject child, Female, 32 Years	Inadequate Guardianship	Unfounded	
	3597 - Other - Subject child, Male, 11 Years	3595 - Father - Subject child, Male, 36 Years	Inadequate Guardianship	Unfounded	
	3598 - Other - Subject child, Female, 17 Years	3595 - Father - Subject child, Male, 36 Years	Inadequate Guardianship	Unfounded	
	3597 - Other - Subject child, Male, 11 Years	3596 - Father's Partner - Subject child, Female, 32 Years	Inadequate Guardianship	Unfounded	
	3598 - Other - Subject child, Female, 17 Years	3596 - Father's Partner - Subject child, Female, 32 Years	Inadequate Guardianship	Unfounded	
	3597 - Other - Subject child, Male, 11 Years	3595 - Father - Subject child, Male, 36 Years	Lacerations / Bruises / Welts	Unfounded	



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3598 - Other - Subject child, Female, 17 Years	3595 - Father - Subject child, Male, 36 Years	Lacerations / Bruises / Welts	Unfounded
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Report Summary:

The narrative of the report stated that over this past weekend, the father shot a BB gun at his seventeen year old and fifteen year old daughters. The 17-year-old sustained a puncture bullet wound and welts on her ankles while the 15-year-old sustained a welt on her thigh. The report further alleged that the mother and a sixteen-year-old child were present during the incident; however, the mother did not intervene to protect the children. Additionally, the report alleged that approximately two weeks before, the father repeatedly hit his eleven year old son with a belt. It was unknown if the child sustained any injuries.

Determination: Unfounded **Date of Determination:** 11/01/2013

Basis for Determination:

The allegations of LBW and IG of the reported children by the adults were unsubstantiated due to lack of credible evidence. The caseworker documented that the parents maintained that the father was shooting at a stray cat on the property and the BB pellets from the gun traveled through the bushes and struck the children, causing superficial injuries. The father was unaware that the children were in the proximity and did not intend to harm them.

OCFS Review Results:

OCFS determined that safety and risk were appropriately assessed. No issues were found that require corrective action.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
01/08/2014	3683 - Other Child - Subject child, Male, 9 Years	3682 - Father - Subject child, Male, 38 Years	Excessive Corporal Punishment	Indicated	No
	3683 - Other Child - Subject child, Male, 9 Years	3682 - Father - Subject child, Male, 38 Years	Inadequate Guardianship	Indicated	
	3683 - Other Child - Subject child, Male, 9 Years	3682 - Father - Subject child, Male, 38 Years	Lack of Supervision	Indicated	
	3603 - Other - Subject child, Male, 11 Years	3682 - Father - Subject child, Male, 38 Years	Inadequate Guardianship	Indicated	
	3603 - Other - Subject child, Male, 11 Years	3682 - Father - Subject child, Male, 38 Years	Lack of Medical Care	Indicated	
	3603 - Other - Subject child, Male, 11 Years	3682 - Father - Subject child, Male, 38 Years	Lack of Supervision	Indicated	
	3684 - Other Child - Subject child, Male, 16 Years	3682 - Father - Subject child, Male, 38 Years	Inadequate Guardianship	Indicated	
	3684 - Other Child - Subject child, Male, 16 Years	3682 - Father - Subject child, Male, 38 Years	Lack of Supervision	Indicated	

Report Summary:

The allegation of this report was Lack of medical care of the 11-year-old child by the father. According to the report, there has been an on-going vision issue with the child who is in need of glasses. As a result of the child not having glasses he has developed headaches and difficulty seeing. The report alleged that the father fails to follow through with the child's medical needs.

Subsequent reports on 1/13/14 and 1/30/14 had allegations of EXCP, IG and LOS.



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Determination: Indicated **Date of Determination:** 04/07/2014

Basis for Determination:
The allegations of Lack of Medical Care stemming from the 1/8/14, report against the father was unsubstantiated at this time. Cayuga documented that the father followed through with getting the child the eyeglasses he needed once his insurance was in place. Inadequate Guardianship and EXCP against the father stemming from the 1/13/14 and 1/30/14 duplicate intakes were unsubstantiated at this time. The allegation of Lack of Supervision from the 1/13/14 was also substantiated.

OCFS Review Results:
The investigations were appropriate

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
01/13/2014	3630 - Other - Subject child, Male, 16 Years	3629 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Unfounded	No
	3631 - Other Child - Subject child, Male, 11 Years	3629 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Unfounded	
	3631 - Other Child - Subject child, Male, 11 Years	3629 - Father - Subject child, Male, 37 Years	Lack of Supervision	Indicated	
	3632 - Other Child - Subject child, Male, 9 Years	3629 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Unfounded	
	3632 - Other Child - Subject child, Male, 9 Years	3629 - Father - Subject child, Male, 37 Years	Lack of Supervision	Indicated	
	3631 - Other Child - Subject child, Male, 11 Years	3629 - Father - Subject child, Male, 37 Years	Lack of Medical Care	Unfounded	
	3630 - Other - Subject child, Male, 16 Years	3629 - Father - Subject child, Male, 37 Years	Lack of Supervision	Unfounded	

Report Summary:
The report alleged that the sixteen- year-old and 12- year-old children were physically aggressive towards his 9-year-old sibling and that although the father was aware of the situation, he did not provide adequate supervision of the children. The older children applied pressure to the 9 year old child's shoulder which resulted in bruising to the child's shoulder and burst capillaries under his eyes. The Cayuga County DSS investigated the allegations and made the appropriate contact with service providers and medical personnel. The information obtained confirmed the accounts provided by the child.

Determination: Indicated **Date of Determination:** 03/17/2014

Basis for Determination:
There was credible evidence to support the substantiation of the allegations. The child was credible and his account was consistent and supported by medical personnel.

OCFS Review Results:
The investigation was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
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01/30/2014	3811 - Other - Subject child, Male, 9 Years	3814 - Father - Subject child, Male, 37 Years	Excessive Corporal Punishment	Indicated	No
	3811 - Other - Subject child, Male, 9 Years	3814 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Indicated	
	3811 - Other - Subject child, Male, 9 Years	3814 - Father - Subject child, Male, 37 Years	Lack of Supervision	Indicated	
	3812 - Other - Subject child, Male, 11 Years	3814 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Unfounded	
	3812 - Other - Subject child, Male, 11 Years	3814 - Father - Subject child, Male, 37 Years	Lack of Medical Care	Indicated	
	3812 - Other - Subject child, Male, 11 Years	3814 - Father - Subject child, Male, 37 Years	Lack of Supervision	Indicated	
	3813 - Other - Subject child, Male, 16 Years	3814 - Father - Subject child, Male, 37 Years	Inadequate Guardianship	Indicated	
	3813 - Other - Subject child, Male, 16 Years	3814 - Father - Subject child, Male, 37 Years	Lack of Supervision	Indicated	

Report Summary:
 This report alleged that on 1/26/14 the father hit the 9-year-old male child with excessive force as a form of discipline. As a result, the child has broken blood vessel spots on the left side of his hip. The Cayuga County DSS investigated the report and made collateral contacts with the school, service providers and family members. At the completion of the investigation, the report was indicated.

Determination: Indicated **Date of Determination:** 03/17/2014

Basis for Determination:
 There was credible evidence in the form of marks and bruises that were observed on the child's body to substantiate the allegations of the report.

OCFS Review Results:
 Safety and risk were appropriately assessed. The determination of the report was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
03/20/2014	3606 - Other - Subject child, Male, 16 Years	3645 - Father, Male, 37 Years	Inadequate Guardianship	Indicated	No
	3606 - Other - Subject child, Male, 16 Years	3645 - Father, Male, 37 Years	Lacerations / Bruises / Welts	Indicated	
	3606 - Other - Subject child, Male, 16 Years	3662 - Aunt/Uncle, Male, 38 Years	Choking / Twisting / Shaking	Indicated	
	3606 - Other - Subject child, Male, 16 Years	3662 - Aunt/Uncle, Male, 38 Years	Inadequate Guardianship	Indicated	
	3606 - Other - Subject child, Male, 16 Years	3662 - Aunt/Uncle, Male, 38 Years	Lacerations / Bruises / Welts	Indicated	

Report Summary:
 This report alleged that on 3/19/14 the father punched the sixteen year old child in the face causing the child to sustain a large scratch on the face. The incident took place at the child's grandfather's home. The grandfather was involved in the



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incident. Law enforcement was called to scene.

Determination: Indicated **Date of Determination:** 04/08/2014

Basis for Determination:
There was credible evidence to substantiate the allegations. The father did not act in an appropriate manner towards the child.

OCFS Review Results:
The investigation was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
03/20/2014	3627 - Other - Subject child, Male, 16 Years	3625 - Father - Subject child, Male, 34 Years	Inadequate Guardianship	Indicated	No
	3627 - Other - Subject child, Male, 16 Years	3625 - Father - Subject child, Male, 34 Years	Lacerations / Bruises / Welts	Indicated	

Report Summary:
The report alleged that the father punched the sixteen-year-old child in the face causing a bruise to the child's face. The LDSS investigated the allegations of the report and made contact with the appropriate collaterals. The LDSS learned that an uncle was also involved in the incident.

Determination: Indicated **Date of Determination:** 04/08/2014

Basis for Determination:
There was credible evidence to support the substantiation of the allegations.

OCFS Review Results:
The investigation was appropriate

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
03/21/2014	3624 - Other - Subject child, Male, 15 Years	3622 - Father, Male, 38 Years	Inadequate Guardianship	Indicated	No
	3624 - Other - Subject child, Male, 15 Years	3622 - Father, Male, 38 Years	Lacerations / Bruises / Welts	Indicated	
	3624 - Other - Subject child, Male, 15 Years	3623 - Grandparent, Male, 60 Years	Choking / Twisting / Shaking	Unfounded	
	3624 - Other - Subject child, Male, 15 Years	3623 - Grandparent, Male, 60 Years	Inadequate Guardianship	Unfounded	
	3624 - Other - Subject child, Male, 15 Years	3623 - Grandparent, Male, 60 Years	Lacerations / Bruises / Welts	Unfounded	

Report Summary:
The report alleged that the father was involved in a physical altercation with the fifteen-year-old child. The father punched the child about the face and the child sustained bruises and scratches to his face and neck area.

Determination: Indicated **Date of Determination:** 04/08/2014

Basis for Determination:



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There was credible evidence to substantiate the allegations of the report. The child was observed with the marks and bruises and information regarding the incident was confirmed by law enforcement.

OCFS Review Results:

The investigation and determination were appropriate; however, there was a need for court intervention.

Are there Required Actions related to the compliance issue(s)? Yes No

Date of SCR Report	Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Status/Outcome	Compliance Issue(s)
07/20/2014	3572 - Other Child - Subject Child, Male, 17 Years	3644 - Aunt/Uncle, Male, 38 Years	Inadequate Guardianship	Indicated	No
	3572 - Other Child - Subject Child, Male, 17 Years	3644 - Aunt/Uncle, Male, 38 Years	Parents Drug / Alcohol Misuse	Indicated	
	3572 - Other Child - Subject Child, Male, 17 Years	3643 - Father, Male, 37 Years	Inadequate Guardianship	Indicated	
	3572 - Other Child - Subject Child, Male, 17 Years	3643 - Father, Male, 37 Years	Lacerations / Bruises / Welts	Indicated	
	3572 - Other Child - Subject Child, Male, 17 Years	3643 - Father, Male, 37 Years	Parents Drug / Alcohol Misuse	Indicated	

Report Summary:

The report alleged that the father and an uncle physically abused the seventeen year old child because the child changed his beneficiary information from the father. The uncle kicked the child in the face and punched him repeatedly while the father grabbed the child's neck leaving scratch marks. The report alleged the mother and other children were not in the home. The investigation included contact with law enforcement and medical personnel. Law enforcement indicated the father and uncle were charged with Harassment.

Determination: Indicated

Date of Determination: 08/25/2014

Basis for Determination:

Report was indicated on the basis that there was some credible evidence to substantiate the allegations. The father admitted to dragging the child by his ankles down the stairs. The child sustained injuries from the altercation. An order of protection was put in place against the father and the uncle.

OCFS Review Results:

The investigation of this report was appropriate.

Are there Required Actions related to the compliance issue(s)? Yes No

CPS - Investigative History More Than Three Years Prior to the Fatality

The family was known to the SCR and CLDSS. Between 06/30/05 and 08/13/14, the MGF was known in 46 reports, nine reports were indicated, 21 unfounded and others were consolidated or merged into previously opened reports. The MGF also received services through Family Assessment Response (FAR). The MGM was known as a subject in 26 reports. Fifteen reports were indicated, 7 unfounded, and 4 were duplicate allegations. The mother was known as the subject child in 15 cases. There were repeated allegations which included Inadequate Guardianship, Lack of Supervision, Excessive Corporal Punishment, and Educational Neglect of the children in the home.

Known CPS History Outside of NYS



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There are no known CPS History outside of NYS.

Services Open at the Time of the Fatality

Was the deceased child(ren) involved in an open preventive services case at the time of the fatality? Yes

Date the preventive services case was opened: 01/04/2011

Was the deceased child(ren) involved in an open Child Protective Services case at the time of the fatality? Yes

Date the Child Protective Services case was opened: 01/04/2011

Evaluative Review of Services that were Open at the Time of the Fatality

	Yes	No	N/A	Unable to Determine
Was there information in the case record that indicated the existence of behaviors or conditions that placed the children in the case in danger or increased their risk of harm?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Family Assessment and Service Plan (FASP)

	Yes	No	N/A	Unable to Determine
Was the most recent FASP approved on time?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was there a current Risk Assessment Profile/Risk Assessment in the most recent FASP?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was the FASP consistent with the case circumstances?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Closing

	Yes	No	N/A	Unable to Determine
Was the decision to close the Services case appropriate?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Provider

	Yes	No	N/A	Unable to Determine
Were Services provided by a provider other than the Local	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



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Department of Social Services?

Additional information, if necessary:
Services were provided to the family from 01/04/11 and is still open at the writing of this report.

Required Action(s)

Are there Required Actions related to compliance issues for provisions of CPS or Preventive services ?

Yes No

Preventive Services History

On 01/04/11, a Family Services Stage (FSS) was opened with the family at which time preventive services were offered. FAR was offered and an ongoing assessment was made of the family and their ability to participate in the services offered. Not all family members in the home were compliant with the services offered. As a result of the noncompliance, an Article 10 petition was filed and a remand was made for one of the aunts of the deceased child. Cayuga LDSS continued to monitor the other family members in the home while the other child received services in placement.

Family Assessment Service Planning (FASP)

	Yes	No	N/A	Unable to Determine
Was the most recent required FASP approved on time?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Required Action(s)

Are there Required Actions related to the compliance issues for provision of Foster Care Services?

Yes No

Foster Care Placement History

In November of 2012, the aunt of the subject child was remanded into the care of the Commissioner of the Cayuga County Department of Social Services as a result of non-compliance following a PINS petition that was previously filed. While services were offered to the family, the aunt continued to be non-compliant and the negative behaviors reportedly increased both at home and in the school setting. She refused to attend school even after diligent efforts were made to escort and support her. She remained in care at the time of the SC death.

Legal History Within Three Years Prior to the Fatality

Was there any legal activity within three years prior to the fatality investigation? There was no legal activity

Recommended Action(s)



Are there any recommended actions for local or state administrative or policy changes? Yes No

Are there any recommended prevention activities resulting from the review? Yes No