



Eliot Spitzer  
*Governor*

NEW YORK STATE  
OFFICE OF CHILDREN & FAMILY SERVICES  
52 WASHINGTON STREET  
RENSSELAER, NY 12144

Gladys Carrión, Esq.  
*Commissioner*

## Local Commissioners Memorandum

<b>Transmittal:</b>	07-OCFS-LCM-10
<b>To:</b>	Local District Commissioners
<b>Issuing Division/Office:</b>	Division of Development and Prevention Services Division of Administration
<b>Date:</b>	June 29, 2007
<b>Subject:</b>	Funding for Enhancing CPS Staffing
<b>Contact Person(s):</b>	See Page 5
<b>Attachments:</b>	Attachment A : Certification re: Non-Supplantation
<b>Attachment Available Online:</b>	Yes

### I. Purpose

The purpose of this Local Commissioners Memorandum (LCM) is to advise social services districts of the availability of \$4.7 million of Local Assistance General Funds in the State Fiscal Year (SFY) 2007-2008 Enacted Budget for the improvement of child protective services staff-to-client ratios. The funds may only be used to hire additional child protective services caseworkers and/or supervisors in the workforce. This LCM provides information on how social services districts may apply for these funds; the criteria that OCFS will use to determine which applying social services districts will be funded and the amount they will receive; and the claiming requirements.

## **II. Background**

Chapter 53 of the Laws of 2007 appropriates targeted state aid to reimburse 100 percent of selected social services districts' expenditures only for the purpose of hiring casework and/or supervisory staff in CPS. These funds are in addition to the \$5 million for "CPS Special Allocation for Improving Staff Ratios" that was allocated to social services districts in 07-OCFS-LCM-06.

In December 2006, the "New York State Child Welfare Workload Study Final Report" was submitted by OCFS to the state legislature. The report, written by the Walter R. McDonald & Associates, Inc., working in collaboration with the American Humane Association, recommended that for CPS investigations, New York seek to achieve the goal of 12 active investigations per caseworker per month. The goal of 12 active investigations compares to the findings at the time of an estimated caseload of 20 per month for New York City's Administration for Children's Services (ACS) and 27 per month for the other 10 social services districts participating in the survey.

## **III. Program Implications**

Social services districts are invited to apply for a portion of the available \$4.7 million to hire additional CPS caseworkers and/or supervisors in SFY 2007-08. These funds are intended to help social services districts achieve, or move closer to achieving, the recommended CPS caseload size of 12 active investigations per month. The funds are also intended to bolster the supervision available to CPS caseworkers. These funds are intended to serve as an incentive providing seed funding to encourage social services districts to increase their CPS staff over the long term. As such, the expectation is that any staff added by this special appropriation will be funded by regular child welfare funding mechanisms in subsequent years.

### Application

Applications must include the following information:

- The amount of money requested, which must include the cost of salary and fringe benefits that will be spent by March 31, 2008;
- The position(s) for which the requested funds will be used;
- The estimated time frames as to when the position(s) is/are anticipated to be approved by the necessary local government authorities and when it is anticipated that the new staff would be hired (a letter from the chief executive officer and/or the chief elected official of the county is encouraged). OCFS's preference is for the approval and occurrence to occur relatively quickly;

- The average caseload size and span of supervision existing in CPS as of March 31, 2007, including information about individual workers' low or high caseloads that significantly impact on the average;
- The justification for the social services district receiving the requested funds including, but not limited to, moving closer to or attainment of the recommended caseload size; and
- The inclusion of the Certification Re: Non-Supplantation (Attachment A.)

Applications must be submitted by August 1, 2007 and sent to:

Renee Hallock  
New York State Office of Children and Family Services  
Room 327 North Building  
52 Washington Street  
Rensselaer, NY 12144

#### OCFS Review Criteria

In determining which applying social services districts will receive all or a portion of the funds that they request, OCFS intends to achieve the following objectives: support social services districts in lowering investigative caseloads and spans of supervision in applying social services districts with the greatest need; utilize all, or as much as is responsibly possible, of the appropriated \$4.7 million within SFY 2007-08; and fairness, within the required use of the appropriation, to social services districts that have begun to proactively request additional CPS staff to attain or approach the workload study's recommended caseload standard.

There are two absolute criteria. First, social services districts may only use these funds to hire additional CPS caseworkers or supervisors, and such hiring must increase the total census of CPS caseworkers and/or supervisors as of April 1, 2007 (or after). Second, the applying social services districts must certify that these funds will not be used to supplant other state or local funds. Applying social services districts must submit Attachment A, "Certification Re: Non-Supplantation." Certification of non-supplantation may only be done if the proposed additional staff item(s) had not been budgeted for by the social services district as of March 31, 2007. The certification must be signed by an authorized agent of the social services district.

To attempt to meet OCFS's aforementioned objectives, in addition to adhering to the criteria pertaining to hiring staff and the non-supplantation requirements, OCFS anticipates giving priority to applications from social services districts that:

- Have current average caseloads that are significantly higher than the workload study recommendations; and
- Offer evidence of the ability to use the funds relatively quickly in SFY 2007-08. (OCFS recognizes that this memorandum is the starting point for social services districts to plan for and submit an application.)

Depending upon the number of acceptable applications and the amount of funding requested in such applications, a social services district potentially could be approved for an amount less than requested in its application. (If this occurs, districts are encouraged to submit any overclaim in accordance with the claiming instructions below.) Please keep in mind that the regular child welfare funding would be available if a district wished to hire CPS staff that exceeded in cost the amount of this special funding for which it has received approval. Additionally, please note that social services districts may use the money allocated to them in 07-OCFS-LCM-06 in combination with any funding it receives from a successful application for this special appropriation, provided that the funds from this appropriation are used to hire additional CPS staff.

OCFS reserves the right to reallocate unclaimed funds awarded to a social services district to another social services district(s).

#### **IV. Claiming**

The \$4.7 million in state general funds in the SFY 2007-08 Budget for expenditures related to the improvement of staff-to-client ratios in the local district CPS workforce must be claimed as described below. These funds are to be used to reimburse expenditures beginning April 1, 2007, and ending March 31, 2008.

Note: The staff funded by this initiative cannot be included in the Services Random Moment Study (SRMS) universe for those districts in the SRMS.

One hundred percent State reimbursement will be available up to the amount of the district's allocation. These project expenditures should be identified as F17 functional costs and reported on the Schedule D-17 Distribution of Allocated Costs to Other Reimbursable Programs (LDSS-3274) in a column labeled as Enhanced CPS Staff Ratio. These costs will carry forward to an LDSS-3922 Reimbursement Claim for Special Projects also labeled as Enhanced CPS Staff Ratio in the Project Name Box. These costs should be reported in the Administration Costs column on the appropriate lines. Please note that the associated A-87 costs are not reimbursable from state funds and are therefore 100% local share. To receive reimbursement for these expenditures, social services districts must sign the certification and submit the LDSS-3922 form to the Bureau of Financial Services Claims Unit, in the New York State Office of Temporary and Disability Assistance, 40 North Pearl Street, 14th Floor, Section C, Albany, New York, 12243. Expenditures must be made by March 31, 2008,

and claims must be submitted no later than June 30, 2008. OCFS may reallocate any unspent funds to other local districts that have claims in excess of their allocation. Costs in excess of the allocation, after any reallocation, should be claimed as part of the General Services Administration cost pool on the Schedule D-2 Allocation for Claiming General Services Administration Expenditures LDSS-2347-B. On this schedule, these costs will be subject to the Services Random Moment Survey (SRMS) process.

Please refer to the Fiscal Reference Manual (FRM), Volume 2, Chapter 3 for further LDSS-3922 instructions. Instructions for the Schedules D-2 and D-17 are found in Volume 3 (Volume 4 for New York City) of the FRM in Chapters 9 and 18 respectively.

## V. Contact Persons

Any programmatic questions should be addressed to:  
Renee Hallock, (518) 474-4726, [Renee.Hallock@ocfs.state.ny.us](mailto:Renee.Hallock@ocfs.state.ny.us)

Any fiscal claiming questions should be directed to:  
Regions I-IV: Carolyn Oleyourryk 1-800-343-8859, 3xt 4-7549 or  
(518) 474-7549, [Carolyn.Oleyourryk@odta.state.ny.us](mailto:Carolyn.Oleyourryk@odta.state.ny.us)

Region V: Michael Borenstein (631) 854-9704  
[Michael.Borenstein@odta.state.ny.us](mailto:Michael.Borenstein@odta.state.ny.us)

Region VI: Marian Borenstein (212) 961-8250  
[Marian.Borenstein@odta.state.ny.us](mailto:Marian.Borenstein@odta.state.ny.us)

*/s/ Jane G. Lynch*

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**Issued By:**

Name: Jane G. Lynch  
Title: Deputy Commissioner  
Division/Office: Development and Prevention Services

*/s/ William T. Gettman, Jr.*

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**Issued By:**

Name: William T. Gettman Jr.  
Title: Deputy Commissioner  
Division/Office: Administration

**Attachment A: Certification Re: Non-Supplantation**

This is to certify that \_\_\_\_\_ social services district will use awarded funds authorized by Chapter 53 of the Laws of 2007 to hire additional CPS caseworkers and/or supervisors to reduce average caseload size and/or to lower the supervisor-caseworker ratio in the local district child protective services workforce. Such funds will not be used to supplant any other state or local funds. Claims for reimbursement under this appropriation will not be submitted for the same type and level of funding covered by any other state or locally authorized appropriation. Any staff to be added by these funds was (were) not included in the social services district's budget as of March 31, 2007.

**Signature and Title:**

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